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PATENT APPLICATION 2161

The Law Offices of William W. Cochran, LLC  
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DOCKET NO.: CENT.01USU1

IN THE  
 UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert E. Sutton

Serial No.: 09/896,705

Examiner:

Filing Date: June 29, 2001

Group Art Unit: 2161

Title: METHOD FOR PROVIDING FINANCIAL AND RISK MANAGEMENT

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

## TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment **"Preliminary Amendment A"** ( ) Petition to extend time to respond  
 ( ) New fee as calculated below ( ) Supplemental Declaration  
 (X) No additional fee (Address enveloped to "Box Non-Fee Amendments")  
 ( ) Other:

CLAIMS AS AMENDED BY SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS		MINUS		=	X \$9	\$
INDEP. CLAIMS		MINUS		=	X \$42	\$
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$140	\$
EXTENSION FEE	1ST MONTH \$55	2ND MONTH \$205	3RD MONTH \$465	4TH MONTH \$725	\$	
				TOTAL FEE FOR THIS AMENDMENT		\$

- ( ) Attached is a check for \$ \_\_\_\_\_.  
 ( ) Please charge to Deposit Account 50-1491 the amount of \$ \_\_\_\_\_.

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1491 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1491 under 37 CFR 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited  
 with the United States Postal Service as:

- [ ] First Class Mail  
 [X] "Express Mail Post Office to Addressee" service under  
 37 CFR 1.10.  
 "Express Mail" label no.: EV 344669239 US

in an envelope addressed to:

Commissioner for Patents, U.S. Patent & Trademark Office,  
 Box NON-FEE AMENDMENTS, P. O. Box 1450,  
 Alexandria, VA 22313-1450

Date of Deposit: April 11, 2003

Signature: *Christie Cochran*

Typed Name: Christie Cochran

Respectfully submitted,

By: *William W. Cochran II*

William W. Cochran II  
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Date: April 11, 2003